



# 2021 Roadmap for SOC Automation & IT Compliance



## To qualify for CPE credit:

- Actively respond to all polling questions and stay on for the duration of the broadcast
- If you have technical difficulties responding to the questions, please send an email to [Elevate@armanino.com](mailto:Elevate@armanino.com) with the name/date of your session along with your poll responses

## Questions or comments for the faculty:

- Submit via chat

# What You Will Learn Today:



- Discover why it is important to proactively prioritize your security and compliance needs
- Discuss a SOC roadmap for your organization
- Assess automation options for your SOC and IT compliance efforts



**Liam Collins**

Partner-in-Charge  
Armanino Advisory LLC



**Jonathan Cordeau**

Head of Product  
Very Good Security



## Polling Question 1

# SOC2 Compliance 101: The Why



Establish a  
competitive  
advantage



Facilitate trust  
with larger and  
heavily-regulated  
customers



Reduce sales  
friction



Create a culture  
of security



Reduce the  
likelihood of a  
data breach

# SOC2 Compliance 101



## Type I

Point in  
Time

Performed  
Once

Walkthrough  
Observation

Image



## Type II

Period of  
Time

Demonstrated  
over time

Walkthrough  
Observation,  
Sampling &  
Testing

Movie

- Access control
- Two-factor authentication
- Encryption



- Encryption
- Access controls
- Network/application firewalls

- Network/application firewalls
- Two-factor authentication
- Intrusion detection

- Performance monitoring
- Disaster recovery
- Security incident handling

- Quality assurance
- Processing monitoring



# The Opportunities



1. Building for Compliance
2. Scalable Process
3. Cultural Debt

# The Challenges

1. People operations
2. Technical operations





## Polling Question 2

# Effectively Leveraging Technology & Expertise



Setup on VGS Control

Integrate with key systems for automated document collection

Document policies leveraging VGS Control

Complete readiness assessment checklist

Meet with Armanino audit team to develop project timeline

Review readiness assessment checklist with Armanino

Leverage VGS Control to provide audit evidence for your Type I audit

Armanino team performs control walkthroughs and validate existence of controls

Armanino teamwork with you to draft and finalize Type I audit report

Share report with your customers and prospects

Meet with Armanino team to prepare for your Type II

Leverage VGS Control to provide audit evidence for your Type II audit

Armanino team performs control walkthroughs and tests operating effectiveness of controls

Armanino teamwork with you to draft and finalize Type II audit report

Share report with your customers and prospects



## Polling Question 3



## Q&A



## Polling Question 4

# The world's easiest and most powerful Compliance Platform







# Contact Us



**Liam Collins**

Partner-in-Charge

Armanino Advisory LLC

[liam.collins@armanino.com](mailto:liam.collins@armanino.com)



**Jonathan Cordeau**

Head of Product

Very Good Security

[jonathan.cordeau@verygoodsecurity.com](mailto:jonathan.cordeau@verygoodsecurity.com)



THANK YOU



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